

# Corporate law services

Our value proposition



# Our expertise

One of the most critical aspects of appointing an advisor is selecting the right set of people – a team that can be trusted. A supportive team presents a clear picture backed by factual information and gives technically correct advice which is within close reach.

Our specialists work as your extended team to ensure zero-defect secretarial compliances and help you meet your strategic objectives.

At Grant Thornton Bharat, we have a dedicated team of committed professionals comprising of Company Secretaries, law graduates and Chartered Accountants, who focus on providing compliance solutions.



**350+**  
clients  
managed



**14**  
operating  
offices in India



**100+**  
team strength



**2500+**  
filings managed  
annually

# Our offerings

We offer clear and practical support across the following areas.



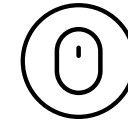
# India entry - entity set-up



The image features a background photograph of two men in business suits. One man is seated at a desk, looking at a document, while the other stands beside him. A semi-transparent purple overlay covers the entire image. In the center, there is a graphic consisting of three white circles with teal outlines, connected by teal lines. The text 'Corporate Secretarial services' is written in white, bold, sans-serif font to the left of the circles.

**Corporate  
Secretarial  
services**

# Compliance services



Roll over the categories to  
read the related information



Company secretarial - advisory services

1.

### Under the Companies Act

Advisory under various provisions of the Companies Act

Assist in removal of names of companies from the records of RoC (striking-off the companies)

Increase in authorised share capital of the company

Allotment of shares by way of rights issue, preferential allotment cum private placement, bonus issue, etc.

Reduction of share capital, etc.

Change of registered office, name, object, etc.

Deposit/charges related activities

Compounding of offences with National Company Law Tribunal (NCLT)/ regional director

2.

### ESOP administration

Assist in preparing/verifying the records vis-a-vis agenda, notices and minutes of the meetings of the board of directors and committees of board and shareholders relating to ESOPs

Maintain records in relation to granting, vesting, lapse and exercise of option in relation to ESOPs in the stipulated format

Assist in review of ESOP scheme

Evaluate eligibility criteria for grants basis achievement of vesting conditions

Assist in reviewing executed documents for allotment of option

Assist in regulatory filings

# Company secretarial - other services

## Use of technology, compliance review and trainings



### Technology platform

Implement robust platform to manage and monitor compliances

Provide periodic review of compliance status in the solution

Manage reporting and presentation of compliance status to board of directors



### Due diligence

In-depth operational review of the business activities and transaction cycle

Review applicable laws on an entity within the regulatory framework

Outline the position of compliance with the applicable legislature framework



### Training and development

Design and customise training content/programme

Train with courses designed and delivered by experienced industry experts

Option to choose live online (webinar) or classroom training to meet your specific needs





A photograph of three men in business suits. One man is standing and pointing at a laptop screen, while two others are seated at a desk, looking at the screen. The background is a blurred office setting.

**1.**

Agreements such as shareholders or share purchase, joint venture, and external commercial borrowings agreement

**2.**

Statutory documents such as Memorandum and Articles of Association of companies and LLP Agreements

**3.**

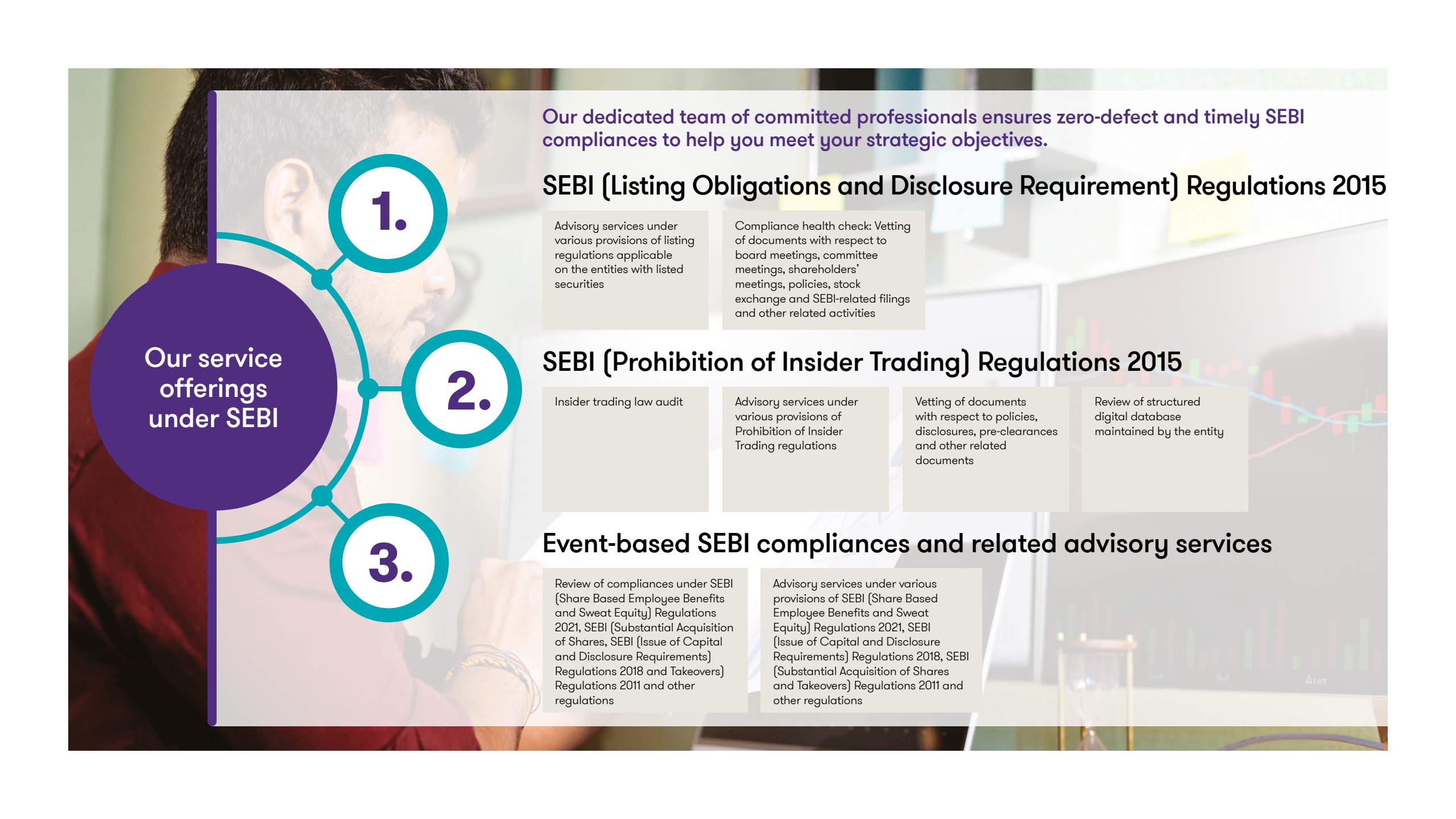
Review of Trust deeds from regulatory perspective

**4.**

Review of Service Agreements

# Securities and Exchange Board of India (SEBI)





Our service offerings under SEBI

1.

Our dedicated team of committed professionals ensures zero-defect and timely SEBI compliances to help you meet your strategic objectives.

## SEBI (Listing Obligations and Disclosure Requirement) Regulations 2015

Advisory services under various provisions of listing regulations applicable on the entities with listed securities

Compliance health check: Vetting of documents with respect to board meetings, committee meetings, shareholders' meetings, policies, stock exchange and SEBI-related filings and other related activities

2.

## SEBI (Prohibition of Insider Trading) Regulations 2015

Insider trading law audit

Advisory services under various provisions of Prohibition of Insider Trading regulations

Vetting of documents with respect to policies, disclosures, pre-clearances and other related documents

Review of structured digital database maintained by the entity

3.

## Event-based SEBI compliances and related advisory services

Review of compliances under SEBI (Share Based Employee Benefits and Sweat Equity) Regulations 2021, SEBI (Substantial Acquisition of Shares, SEBI (Issue of Capital and Disclosure Requirements) Regulations 2018 and Takeovers) Regulations 2011 and other regulations

Advisory services under various provisions of SEBI (Share Based Employee Benefits and Sweat Equity) Regulations 2021, SEBI (Issue of Capital and Disclosure Requirements) Regulations 2018, SEBI (Substantial Acquisition of Shares and Takeovers) Regulations 2011 and other regulations

Services for listed/  
going for listing  
companies



Roll over the categories to  
read the related information







**1.**

Compliance of rules and regulations, documents drafting and obtaining approvals under Foreign Direct Investment, Overseas Direct Investment and External Commercial Borrowing

**2.**

Filing annual “foreign liabilities and assets” return with RBI as an annual compliance

**3.**

Advisory services related to inbound and outbound regulations under FEMA

**4.**

Set up of LO/BO/PO

**5.**

Winding up LO/BO/PO

**6.**

Due diligence services

**7.**

Compounding of offences with RBI

**8.**

Obtain specific approval from the RBI







**1.**

Assist in seeking registration/renewal under FCRA

**2.**

Assist in seeking prior approval under FCRA

**3.**

Utilisation of foreign contribution in accordance with the utilisation guidelines

**4.**

Assist in declaration of receipt of foreign contributions

**5.**

Assist in preparation, execution and filing of annual return under FCRA

**6.**

Advise on various provisions under FCRA

**7.**

Due diligence services

**8.**

Other applications/approvals under FCRA

# Global and domestic delivery capability

With focused capability delivery teams in Bengaluru, Dehradun, Gurgaon, Mumbai and Noida, we apply strong technical guidance to ensure high levels of quality and client experience.



## Focused delivery centres

Centre	Location	Headcount* & Nature of service
India USJV	Bengaluru	1000+ Audit, tax, advisory support
BPS	Noida, Bengaluru, Hyderabad & Mumbai	250 Mid and back office support
IKCC	Dehradun	75 Mid and back office support
GRC	Gurgaon	55 Mid office support
FS Team	Mumbai & Bengaluru	65+ Financial services (AML) and FS Risk practitioners with Asset Management / Controls Assurance experience
dGTL Team	Bengaluru, Chennai, Delhi/Gurgaon & Mumbai	75+ qualified techno-functional and technology professionals with expertise on diverse emerging technologies and tools including AI, ML, Digital Finance, Advance Analytics, etc.

## Grant Thornton Bharat talent pool

Capability	Headcount*	% of qualified staff**
Audit	1,500	61%
Advisory	1,100	73%
Tax	500	60%
Other	1,400	55%

5,600+

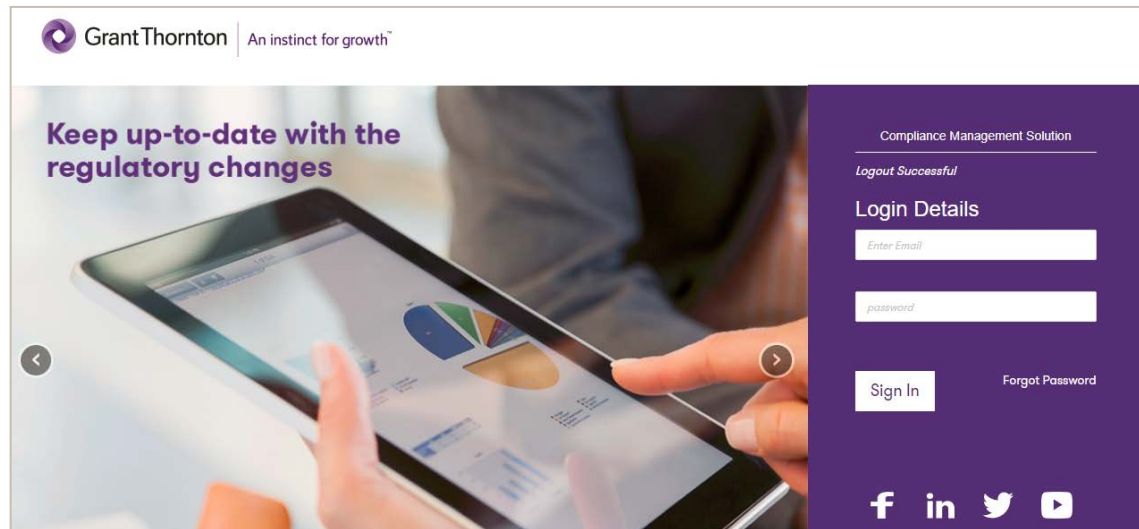
\* Approximate headcount

\*\* Holding a professional qualification (eg CA) or a master's level degree or equivalent diploma/qualification

# Our comprehensive compliance management solution

At Grant Thornton Bharat, we have built a reputation for helping large organisations manage compliance-related intricacies effectively through a technology platform that helps create efficient workflows

## A sneak peek into our compliance management solution



## Dashboards



Third-party compliance management functionality

Synchronisation with Microsoft Outlook

Audit functionality and trail

Internal compliance management functionality

Event-based compliances

Real-time and automatic legal updates

## A digital library of acts and rules built in the tool

950+ State & Central Acts

65,000+ Unique compliance

2,100+ Corporate actions

22+ Countries compliance database

70,000+ Act-related documents

6,500+ Legislative updates

\*Compliance management solution to be implemented if opted by the client

# Why we believe we are the ideal fit

Our approach to quality outsourcing is designed not only to ensure compliance with all relevant regulatory requirements but to ensure that we deliver the best every time.



Roll over the categories to read the related informations

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# We are Shaping Vibrant Bharat

A member of Grant Thornton International Ltd, Grant Thornton Bharat is at the forefront of helping reshape the values in the profession. We are helping shape various industry ecosystems through our work across Assurance, Tax, Risk, Transactions, Technology and Consulting, and are going beyond to shape a more #VibrantBharat.

Great  
Place  
To  
Work<sup>®</sup>

Certified

NOV 2022 – NOV 2023

INDIA

## Our offices in India

- Ahmedabad
- Bengaluru
- Chandigarh
- Chennai
- Dehradun
- Delhi
- Gurgaon
- Hyderabad
- Kochi
- Kolkata
- Mumbai
- Noida
- Pune



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